



中国科学院遥感与数字地球研究所  
Institute of Remote Sensing and Digital Earth, CAS

## ***GEOSS Asia-Pacific Symposium***

***18-20 September 2017, Vietnam Academy of Science and Technology, Hanoi, Vietnam***

***Activities of AOGEOSS Task 6 :***

# **Monitoring of drought and terrestrial water deficit in Asia-Oceania region – DroughtMonitor and ETMonitor**

**Li Jia (PhD, Prof.)**

***jjiali@radi.ac.cn***

**Institute of Remote Sensing and Digital Earth (RADI),  
Chinese Academy of Sciences**

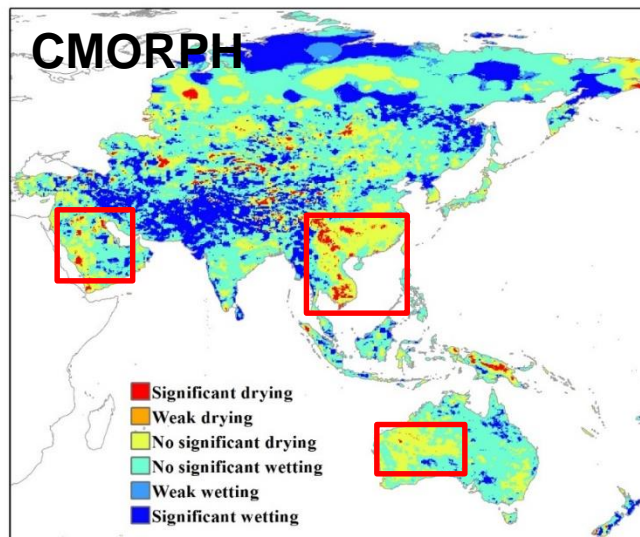
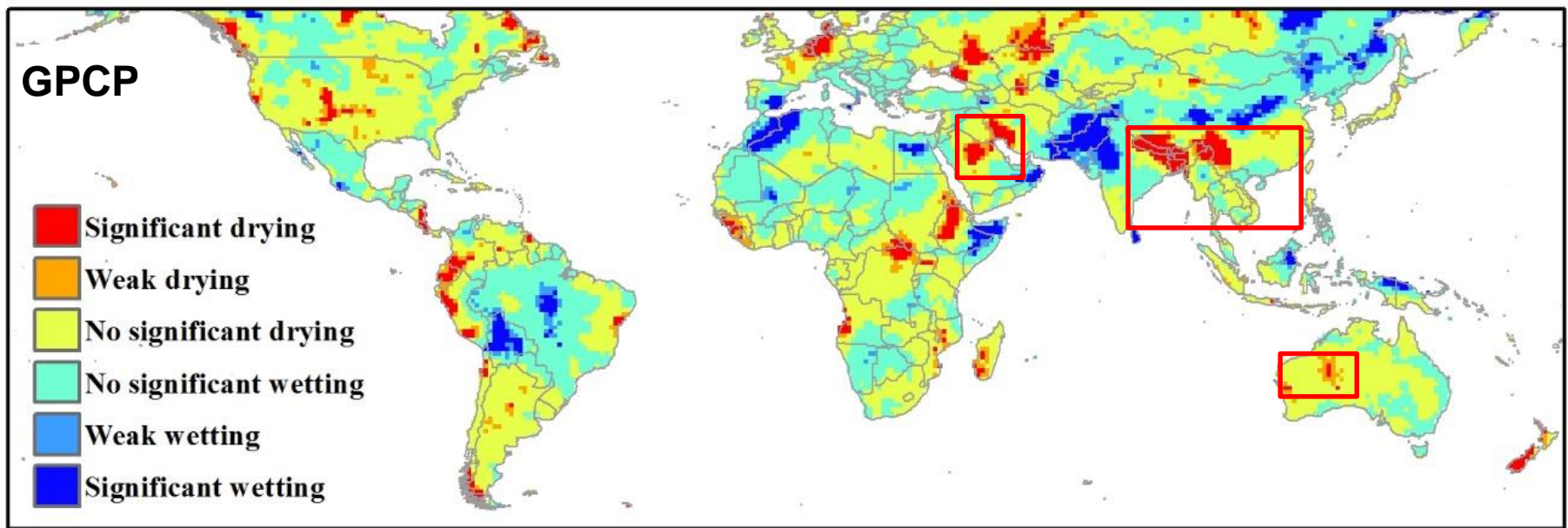


# Outline



- **DroughtMonitor: Drought Monitoring**
- **ETMonitor: Evapotranspiration and Water Deficit**

# Global Trend of Drought



- ✓ Southern Asia: drying trend ;
- ✓ Southern China, northeastern India, Thailand: frequent drought occurrence areas.

# Definition of Drought



- **Meteorological Drought:** **Driven by precipitation deficit and its duration**
  - Less rainfall
  - Warmer air
  - Energy excess of water
- **Hydrological Drought:** **occurs after longer period of precipitation deficit**

A reduction in water resources (stream flow, lake level, ground water, underground aquifers) below a specified level for a given period of time
- **Agricultural Drought:** **Insufficient soil moisture level to meet the plant needs for water during growing period**

Impact of meteorological/hydrological drought on vegetation condition and crop yield
- **Man-induced Drought**

Diversion of river water  
Groundwater depletion  
Increasing water demand → economical development and living standards

**Outflow exceeds inflow**

**→ Current study focus on agricultural (ecosystem) drought induced by meteorological drought**

# Joint APGEOSS & AOGEOSS Tasks



## AOGEOSS Activities

### Applications and Services

Task1.AWCI

Task2.AP-BON

Task3. Carbon  
and GHG  
Initiative

Task4. Ocean  
and Society

Task5.  
Agriculture and  
Food Security

Task6. Monitoring and  
evaluation of drought in  
Asia-Oceania region

Task7. Environmental  
Monitoring and Protection

Task8. Ocean and islands

Task9. Himalayan GEOSS

### Foundational tasks

Task10. Data Sharing

Task11. AO-DataCube

Task12. Users Engagement  
and Communication



Existing APGEOSS activities



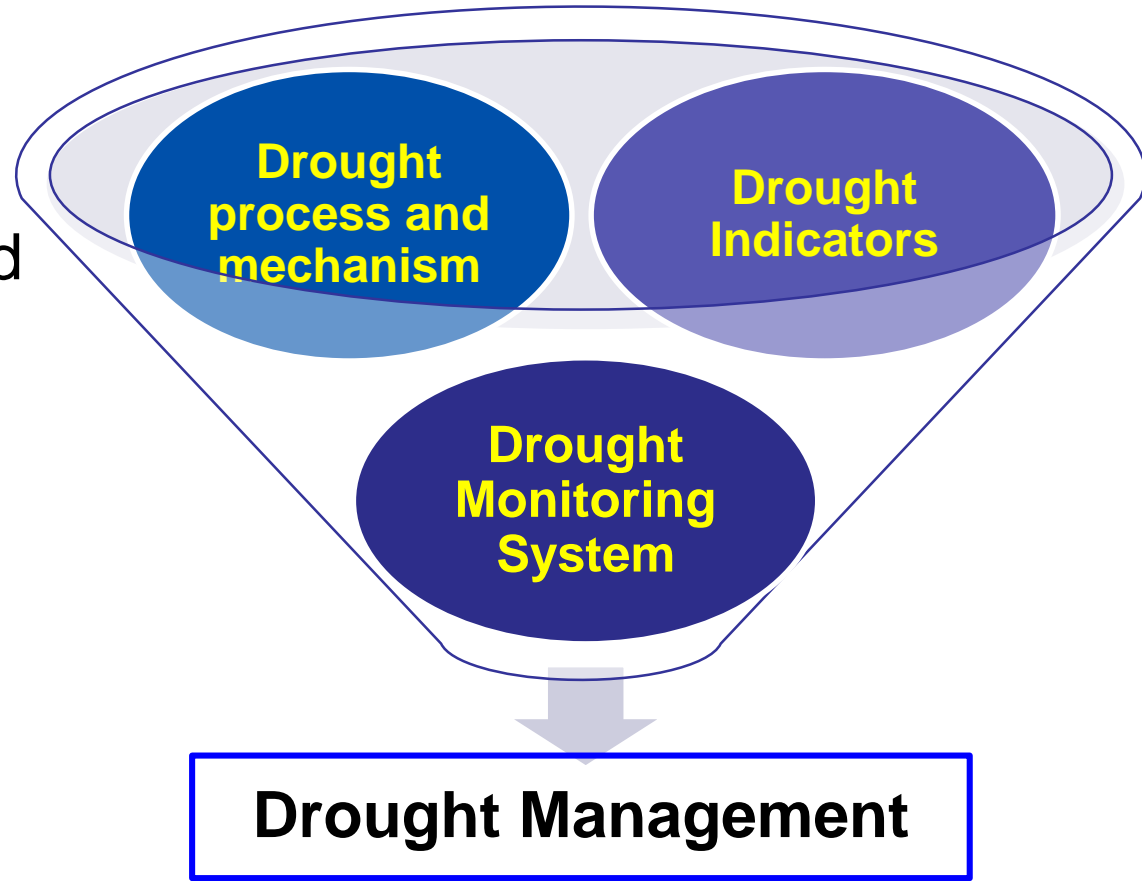
Activities proposed in AOGEOSS

# AOGEOSS Task 6



## Task 6. Monitoring and evaluation of drought in Asia-Oceania region

- **Objectives:** Applying Earth Observations and other space-based technologies for drought monitoring, evaluation, and management.



# AOGEOSS Task 6



## Task 6. Monitoring and evaluation of drought in Asia-Oceania region

- **Sub-Tasks (milestones)**

- **Subtask 6.1** Create and maintain a drought monitoring **cooperative mechanism** (end of 2017)
- **Subtask 6.2** Establish a **framework/methogology** to integrate multiple EO data by different satellites and by different Countries to monitor and evaluate drought (mid of 2018)
- **Subtask 6.3** Develop a comprehensive, inclusive and robust **information system** (end of 2018)
- **Subtask 6.4** Generate policy-relevant **advices** to support governments to make evidence-based decisions (end of 2019)

# Issues Related to Drought Monitoring

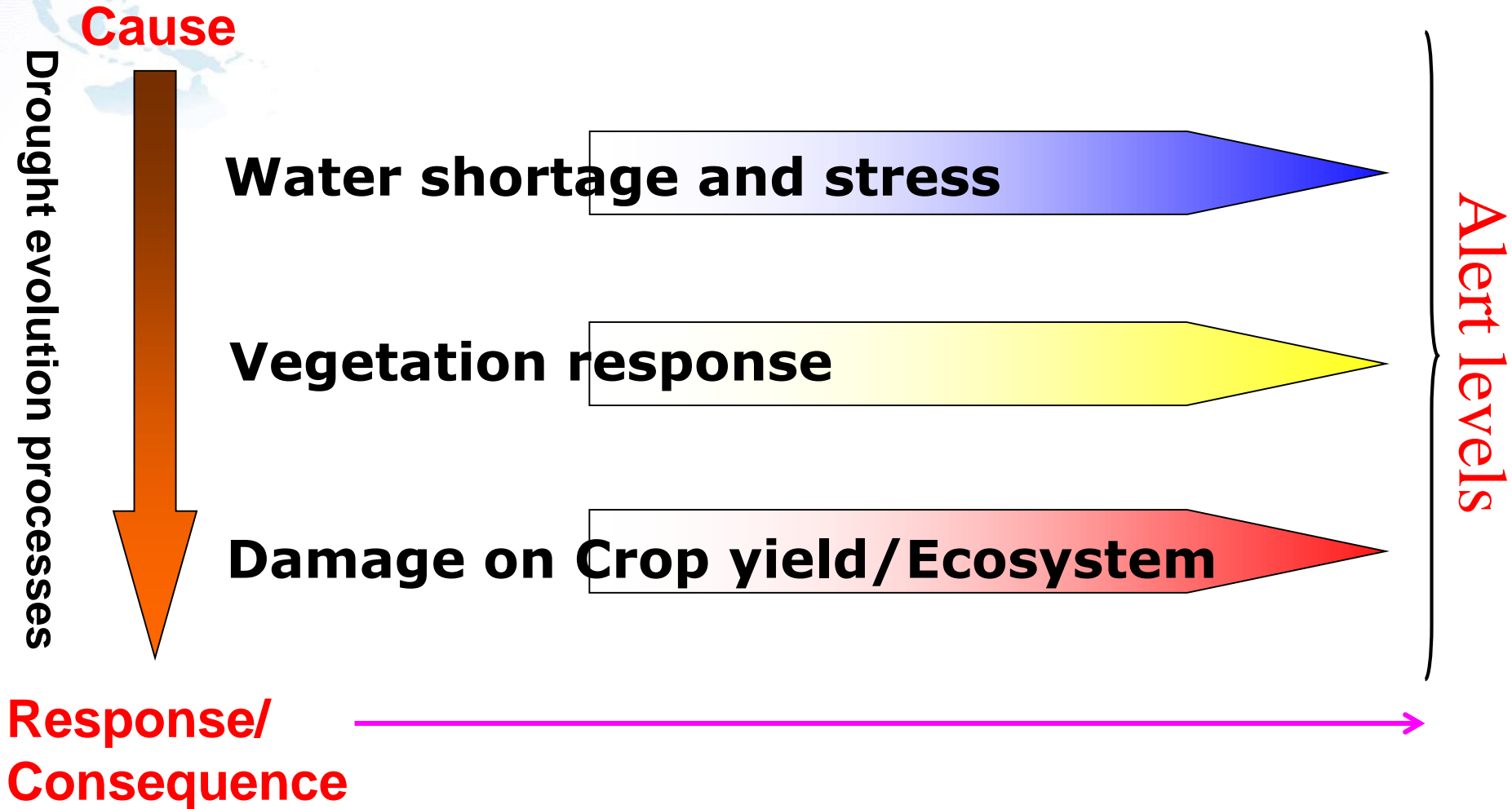


- Ground observations
- Satellite observations:
  - Satellite data quality (**time series reconstruction**);
  - Linkage between anomalies and drought severity
- Methodologies and Indicators
- Cooperation and Partnership

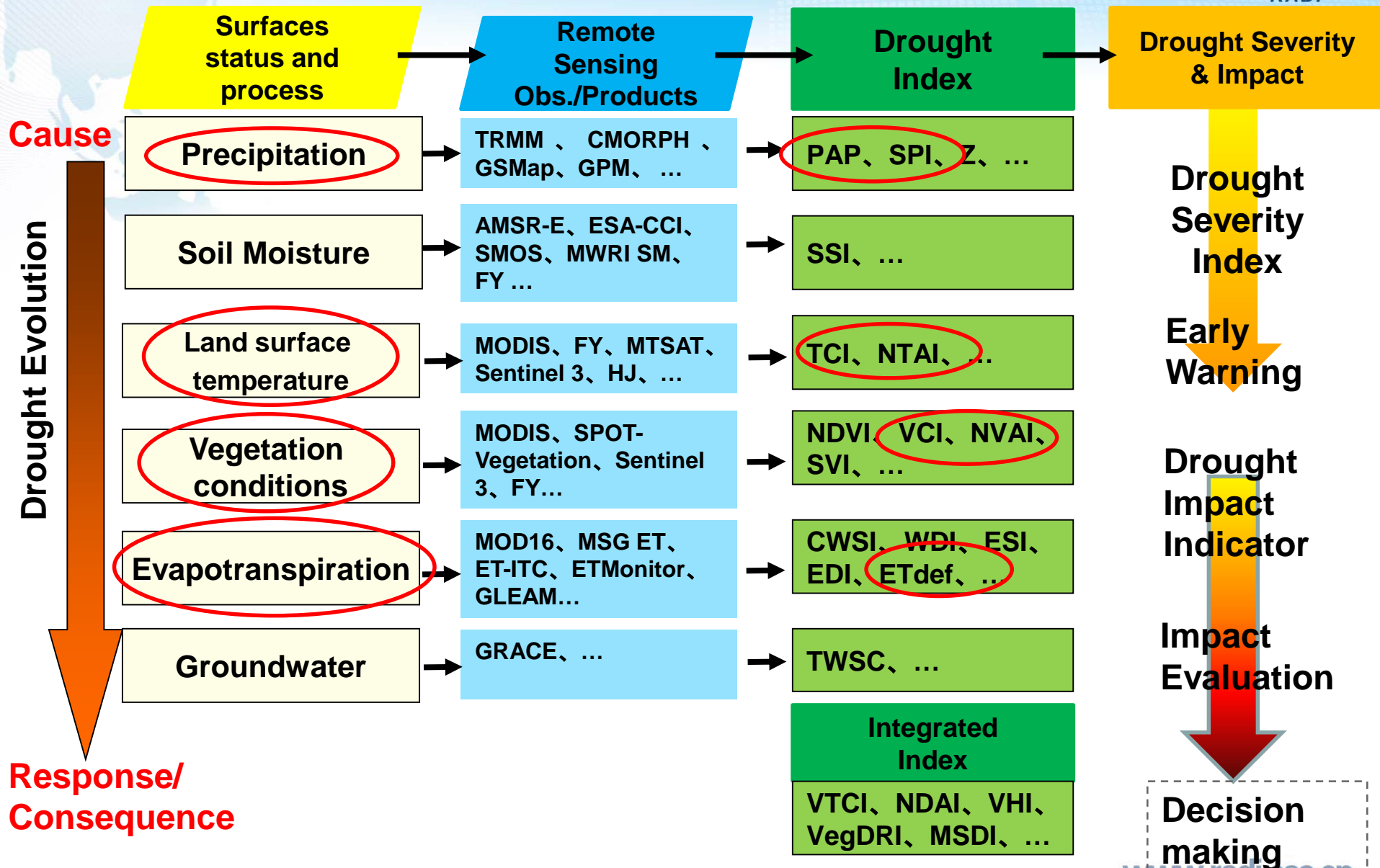
# Drought indicators by EO Data



**Monitoring: Towards severity alert levels**



# Methods for Drought Monitoring by EO Data

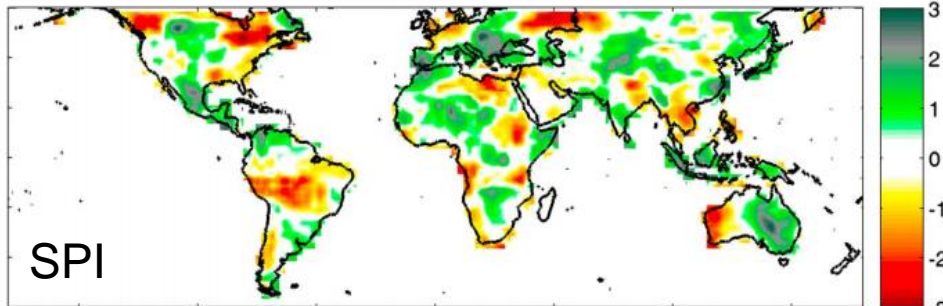


# Drought indicators by EO Data

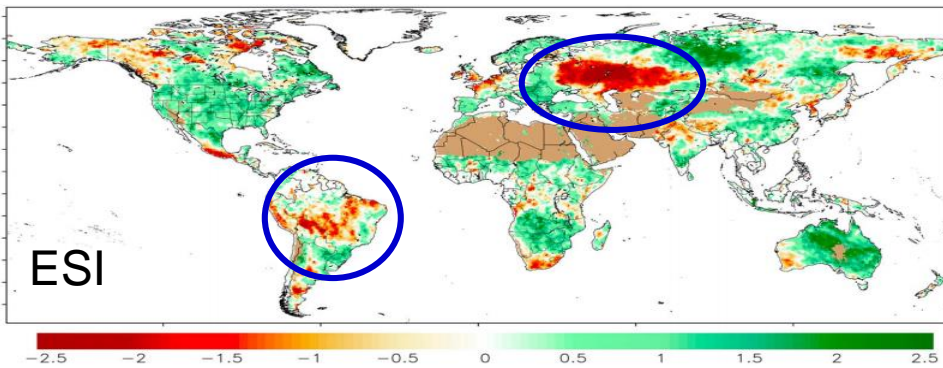
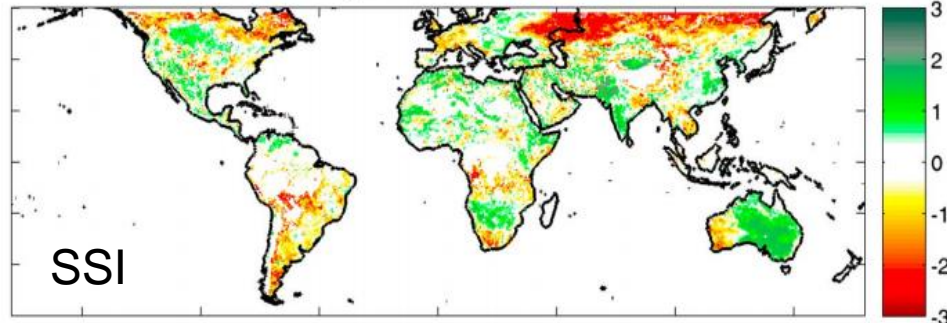


Various Indicators for Drought Monitoring in July 2010 (AghaKouchak et al., 2015)

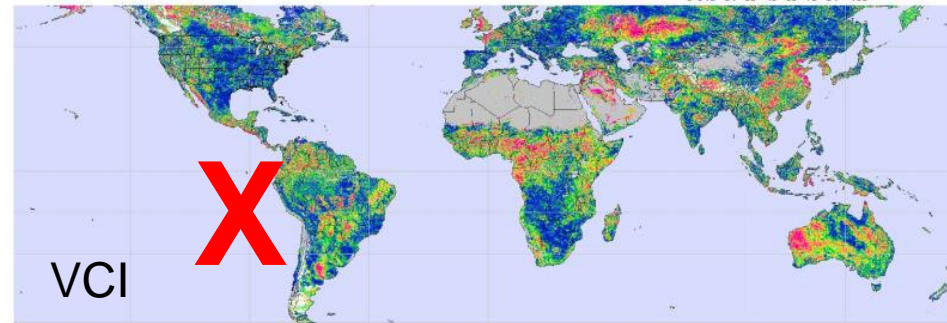
6-Month SPI - July 2010



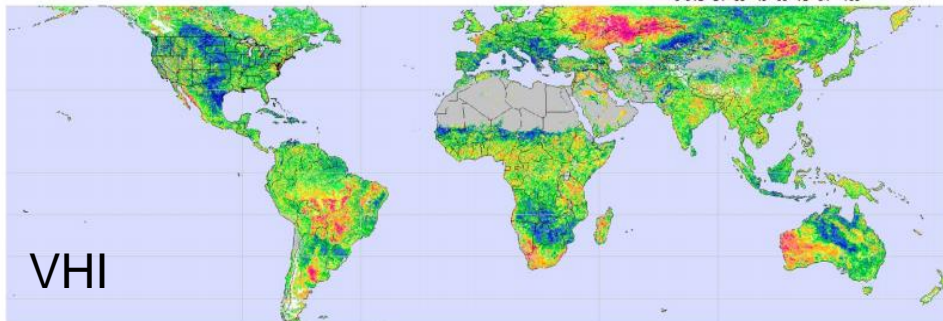
6-Month SSI - July 2010 - Satellite Soil Moisture Data



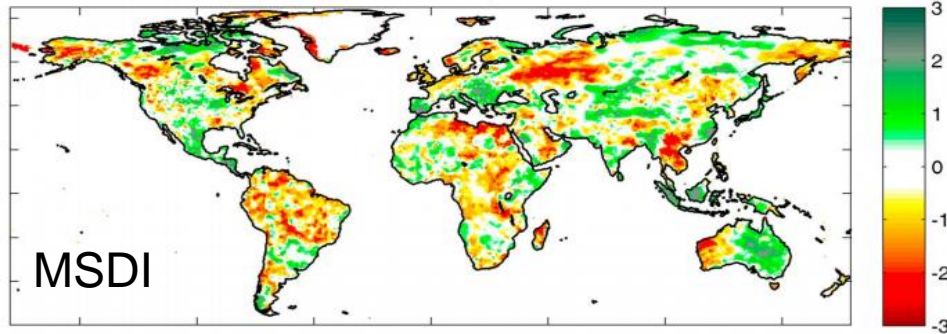
VCI - Last Week of July 2010



VHI - Last Week of July 2010



6-Month MSDI - July 2010



# Drought indicators by EO Data

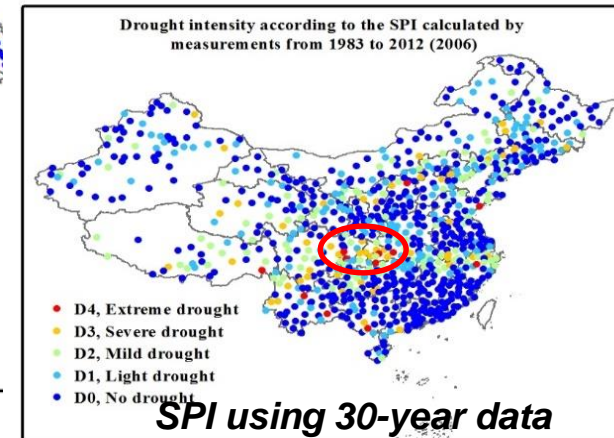
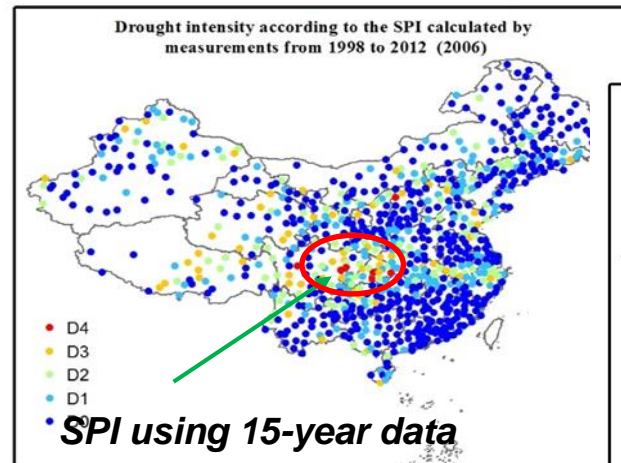
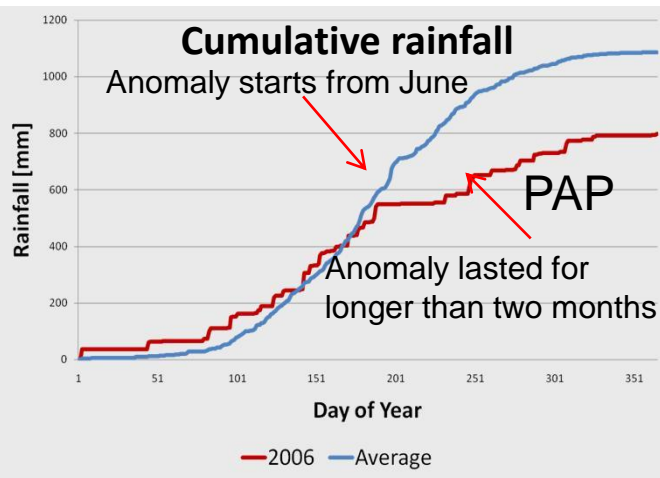


## (1) Rainfall – driving factor

- **PAP:** Cumulative precipitation anomaly percentage over given time period:
- **SPI:** Standardized Precipitation Index - cumulative probability over a given time scale

*Severity level defined by SPI in 2006 from 15 and 30 years period respectively*

**Extreme drought in Sichuan-Chongqing in 2006 (June-August)**



**15-year remote sensing data can be used to calculate SPI for drought monitoring**

# Drought indicators by EO Data



## (2) Land surface response to drought

### ■ Land Surface Temperature (LST)

- **Temperature Condition Index (TCI)**  
(Kogan, 1995, 2002):

$$TCI = (LST - LST_{min}) / (LST_{max} - LST_{min})$$

- 0 ← cold extreme
- 1 ← warm extreme

- **Normalized Temperature Anomaly Index (NTAI)**  
(Jia et al., 2012):

$$NTAI = (LST - LST_{mean}) / (LST_{max} - LST_{min})$$

- 1 ~ 0 : cooler than normal condition
- 0 ~ 1 : warmer than normal condition

### ■ Vegetation Condition

- **Vegetation Condition Index (VCI)**  
(Kogan, 1995, 2002):

$$VCI = (NDVI - NDVI_{min}) / (NDVI_{max} - NDVI_{min})$$

- 0 : bad vegetation condition
- 1 : good vegetation condition

- **Normalized Vegetation Anomaly Index (NVAI)**  
(Jia et al., 2012):

$$NVAI = (NDVI - NDVI_{mean}) / (NDVI_{max} - NDVI_{min})$$

- 1 ~ 0 : decreased vegetation condition
- 0 ~ 1 : increased vegetation condition

### ■ A combined LST – NDVI Index

#### **Normalized Drought Anomaly Index (NDAI)**

$$NDAI = (NVAI - NTAI) / 2 \quad \{-1, 1\}$$

suspected drought -1 ← NDAI → +1 no drought

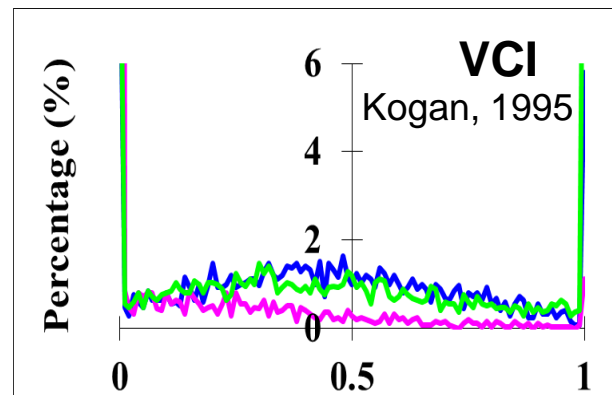
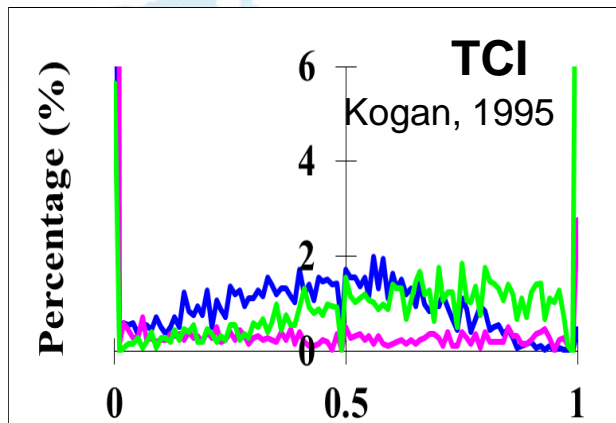
- To eliminate the effect of non-drought damage on vegetation condition
- To collaborate warmer climate favorable for vegetation growth

# Drought indicators by EO Data

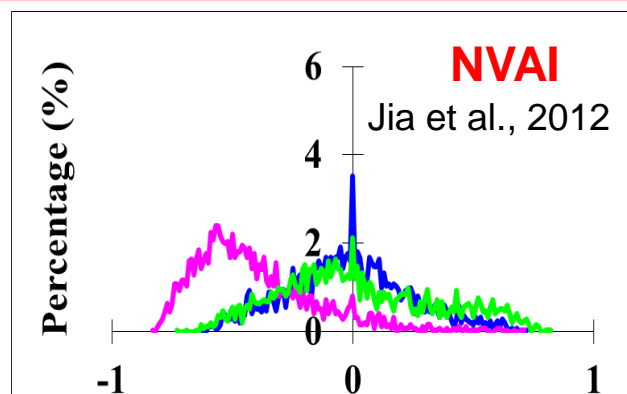
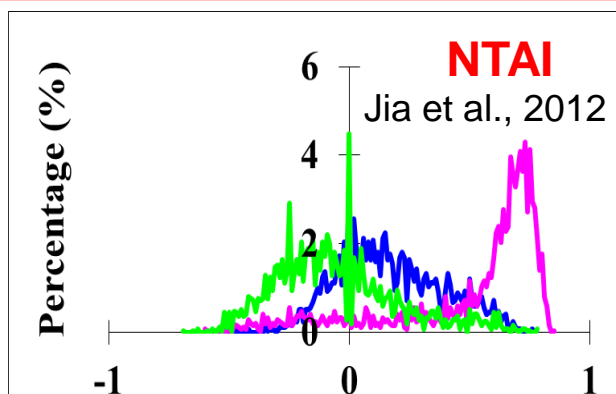


## (2) Land surface response to drought

- Land Surface Temperature (LST)
- Vegetation Condition



**TCI & VCI: more effective to detect the ultimate damage area other than the evolution**



**The new indicators NTAI and NVAI: can reveal better the response to drought evolution.**

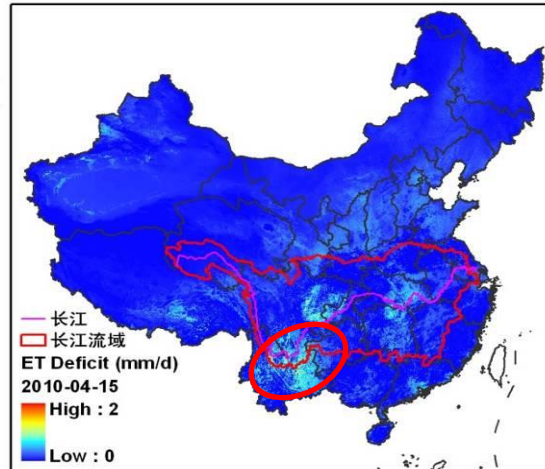
**Blue: onset; Pink: severe stage; Green: post-drought**

# Drought indicators by EO Data



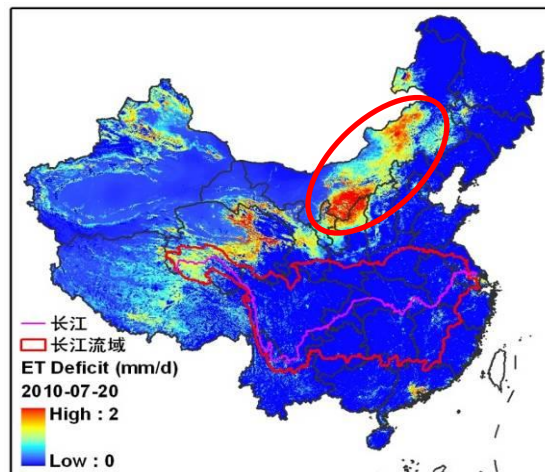
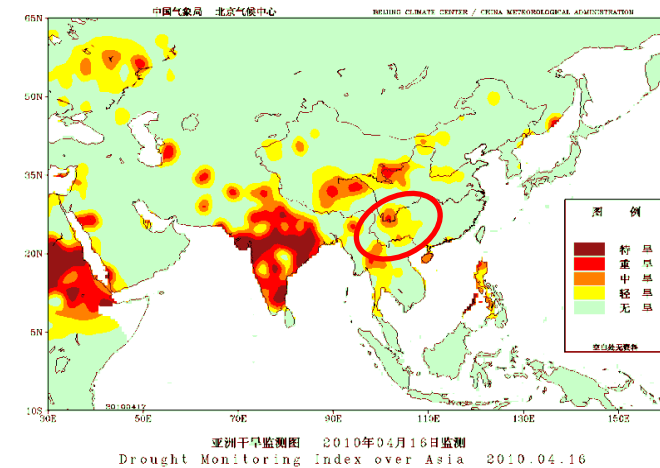
## (3) Soil Water Stress from ET Deficit

ET Deficit from ETMonitor



2010 Spring  
Drought in  
southwest of China  
2010-04-15

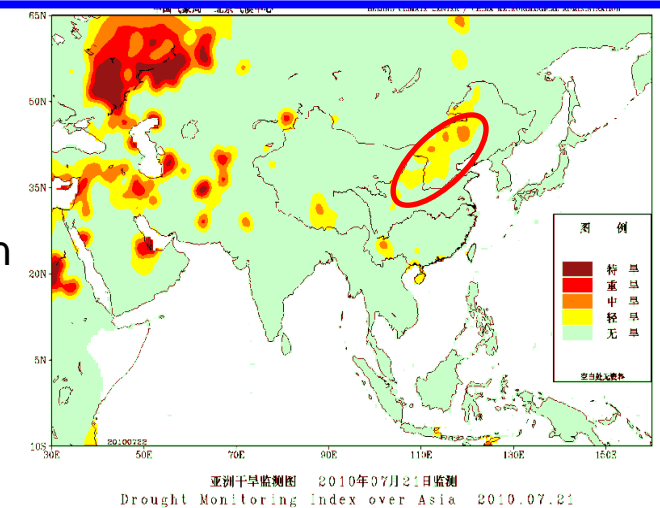
DI from National Climate Center



2010 Summer  
Drought in Inner-  
Mongolia of China  
2010-07-20

Rainfed  
grassland,  
Crop land in  
semi-arid region

More evident  
water stress

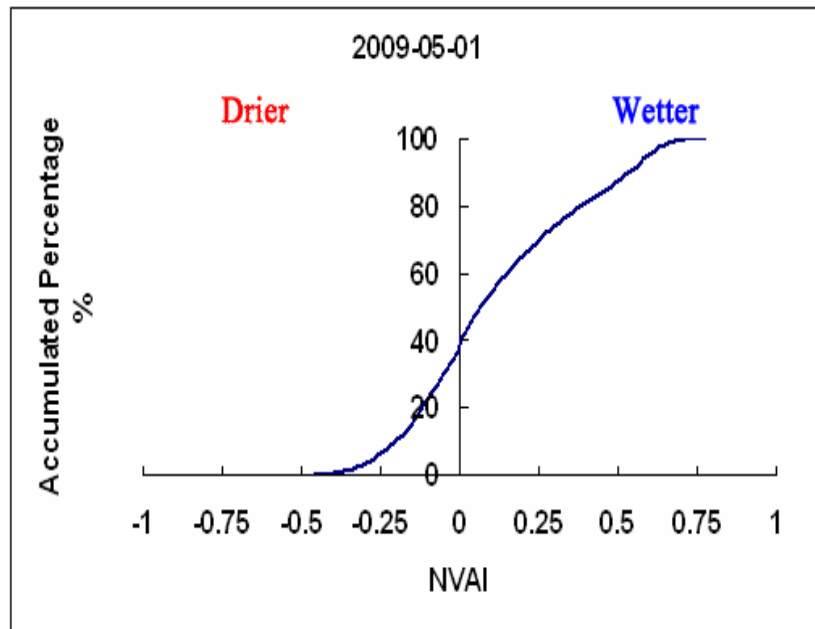


# Regional Drought Alert



- Regionally integrated information:
  - regional drought evolution
  - information of affected degrees and area

**Statistics of accumulative percentage of area (SAPA)** over a region at associated values of drought severity

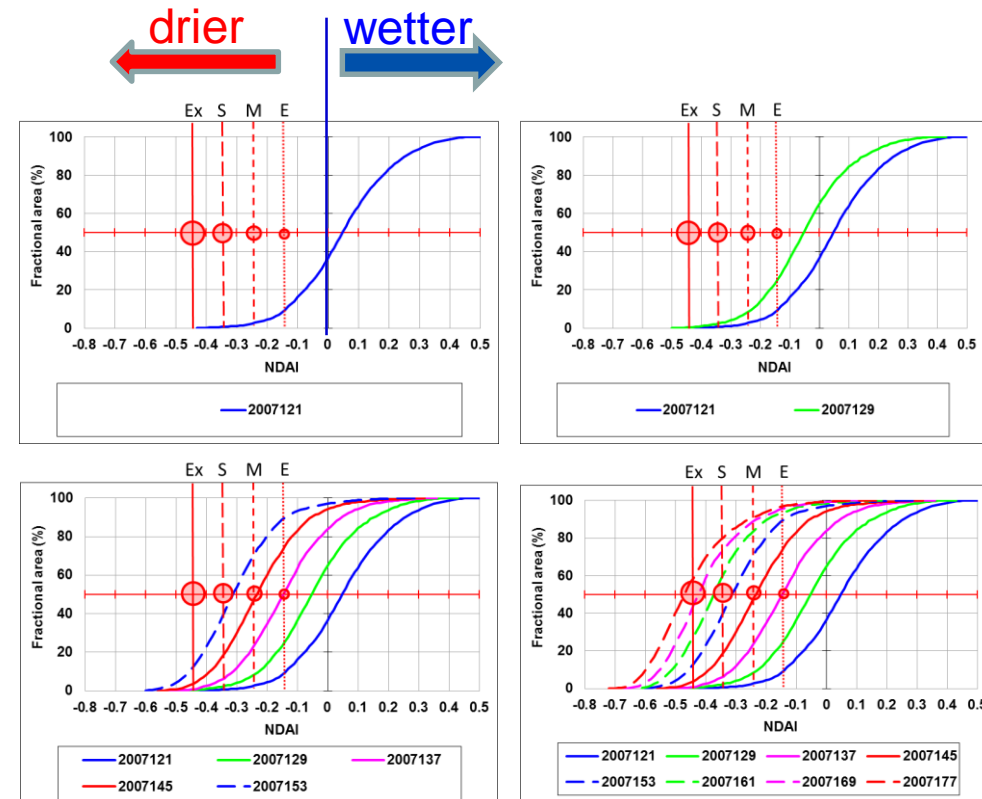


Grassland,  
Inner Mongolia

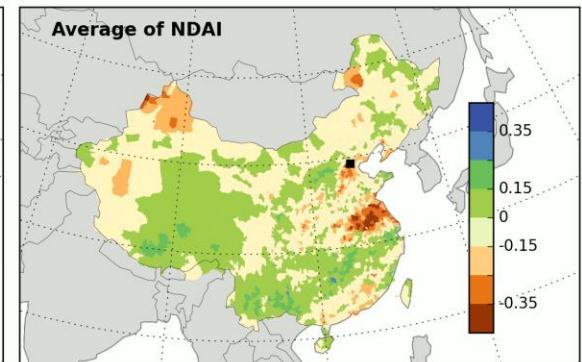
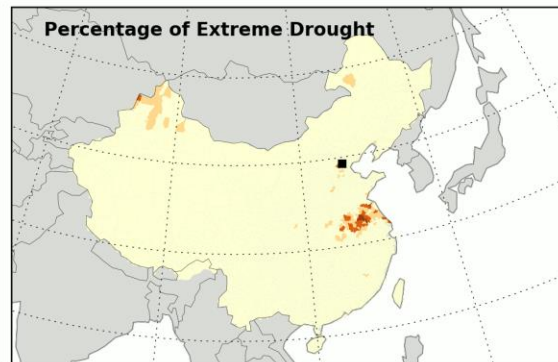
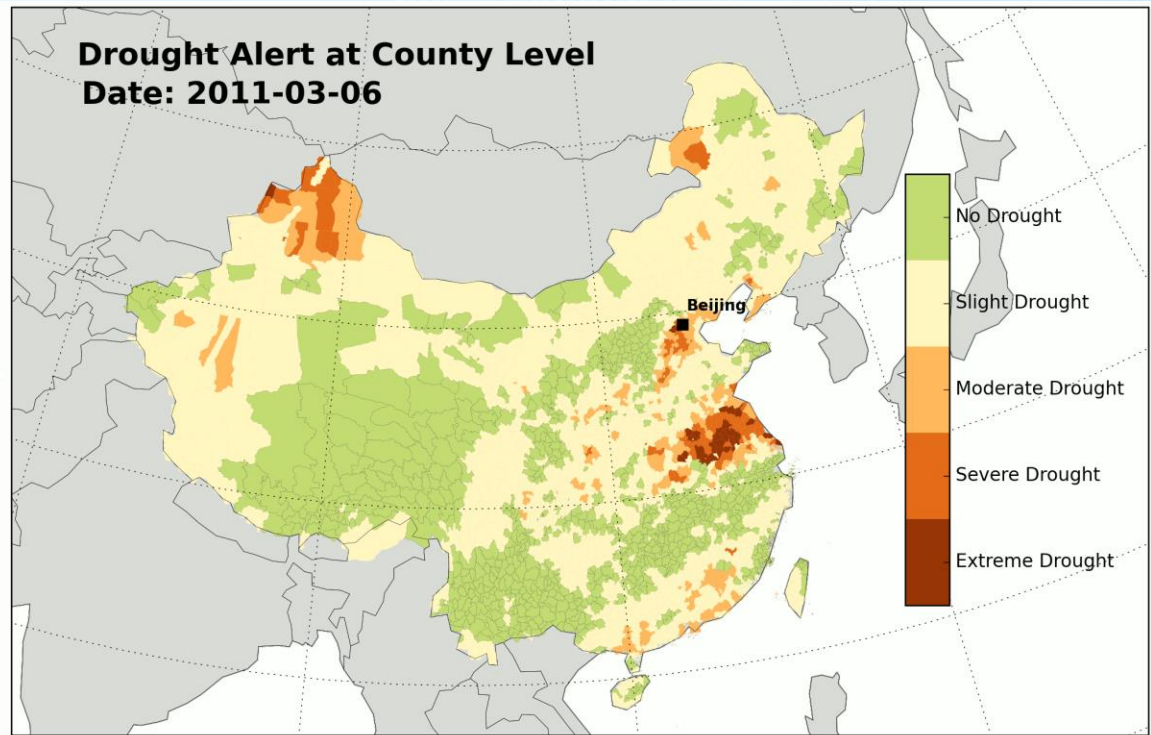
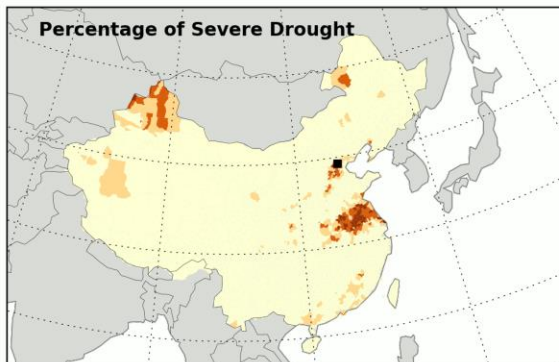
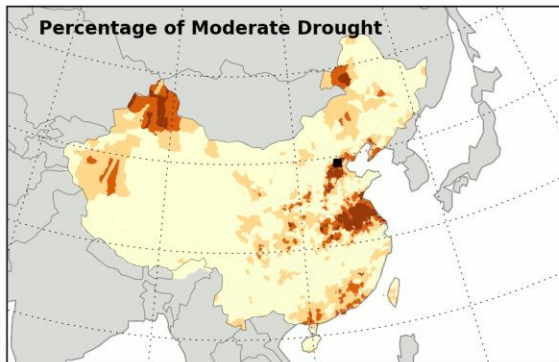
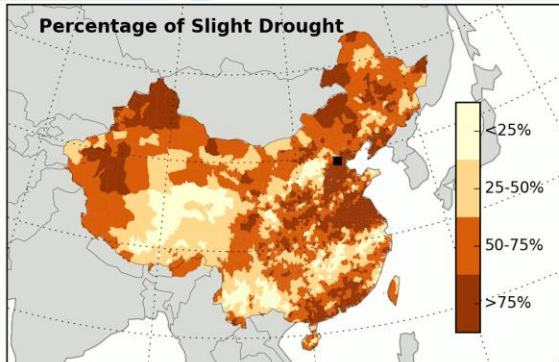
**Jia et al., 2012**

## Regional drought severity alert levels

- Early warning (E): SAPA @ **NDAI = -0.15** > 50%
- Moderate warning (M): SAPA @ **NDAI = -0.25** > 50%
- Severe warning (S): SAPA @ **NDAI = -0.35** > 50%
- Extreme warning (Ex): SAPA @ **NDAI = -0.45** > 50%



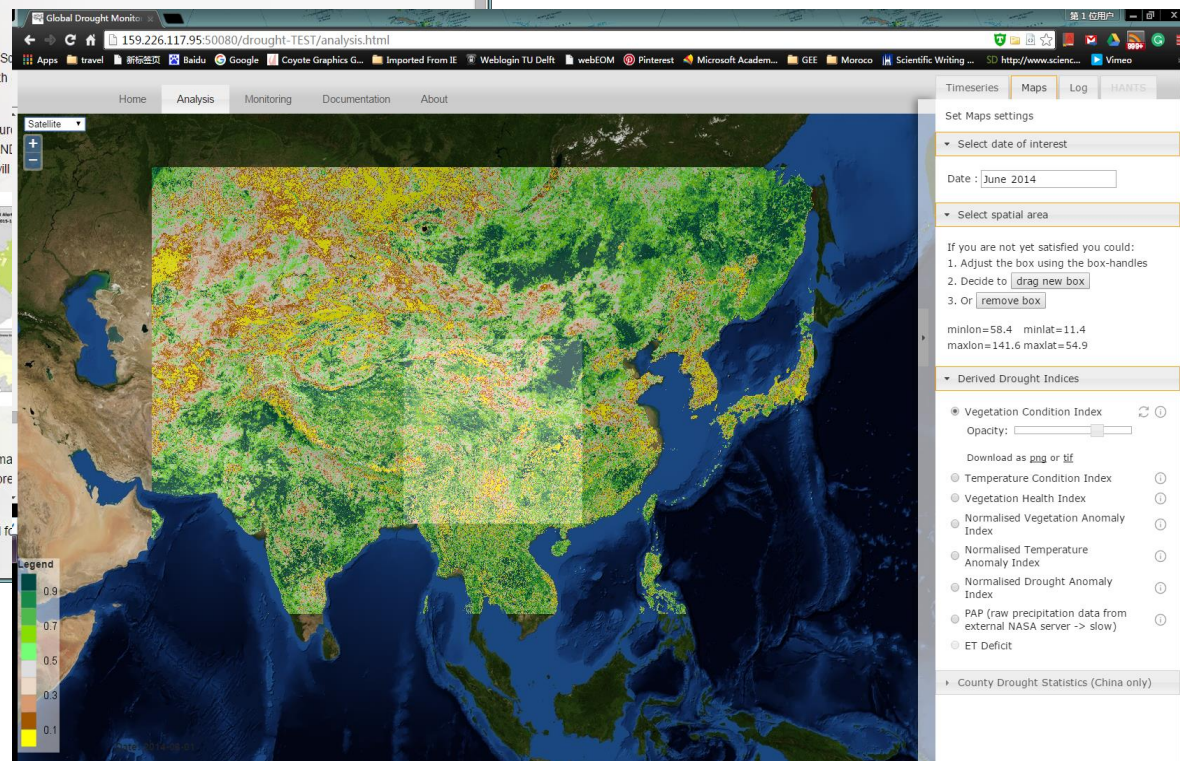
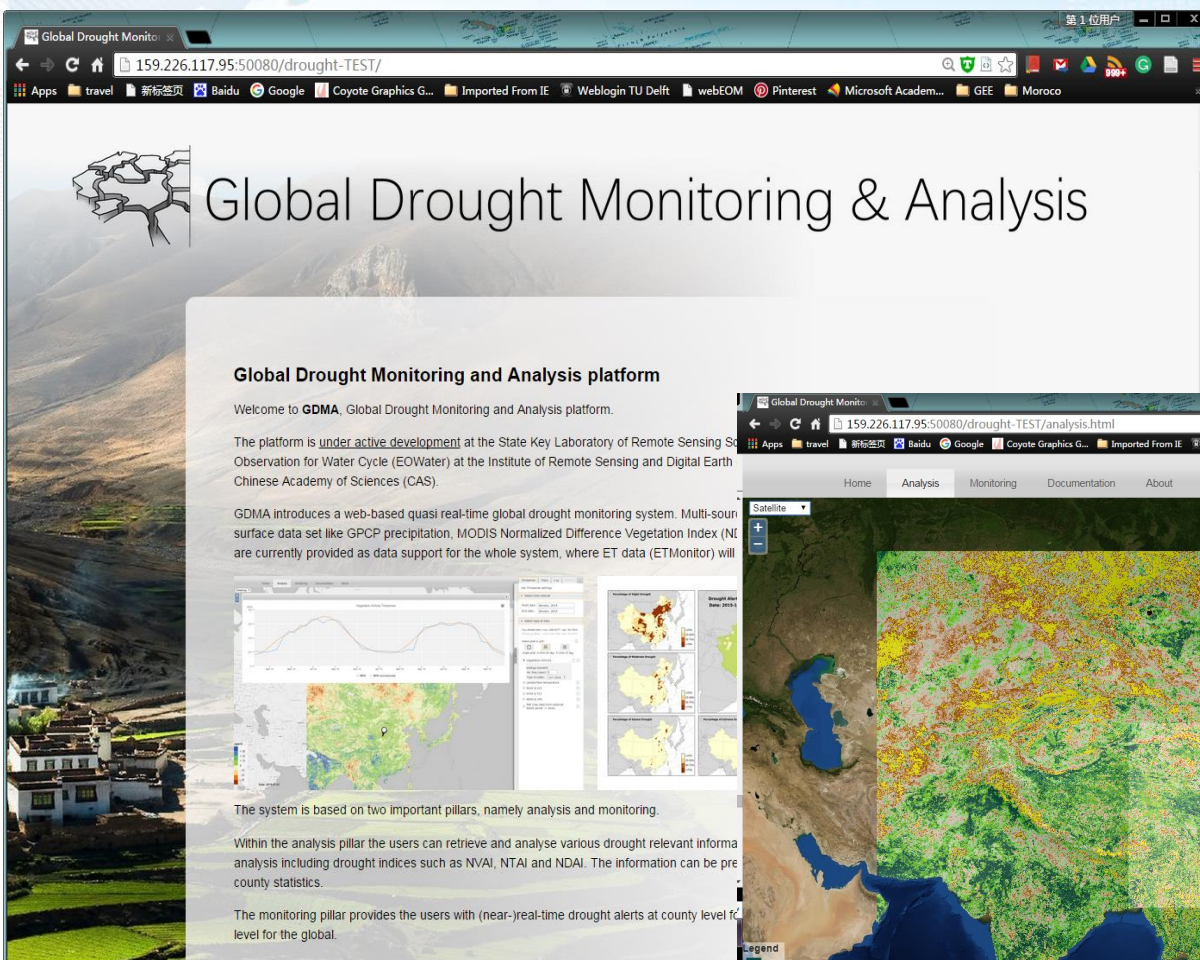
# Regional Drought Alert



# Web based Global Drought Monitoring & Analysis Platform (**Web-GDMAP**)



- Cloud spatial data management
- Support Online analysis
- Web based open source architecture



**Developed at RADI  
(Hoek, Jia, et al., 2016);**

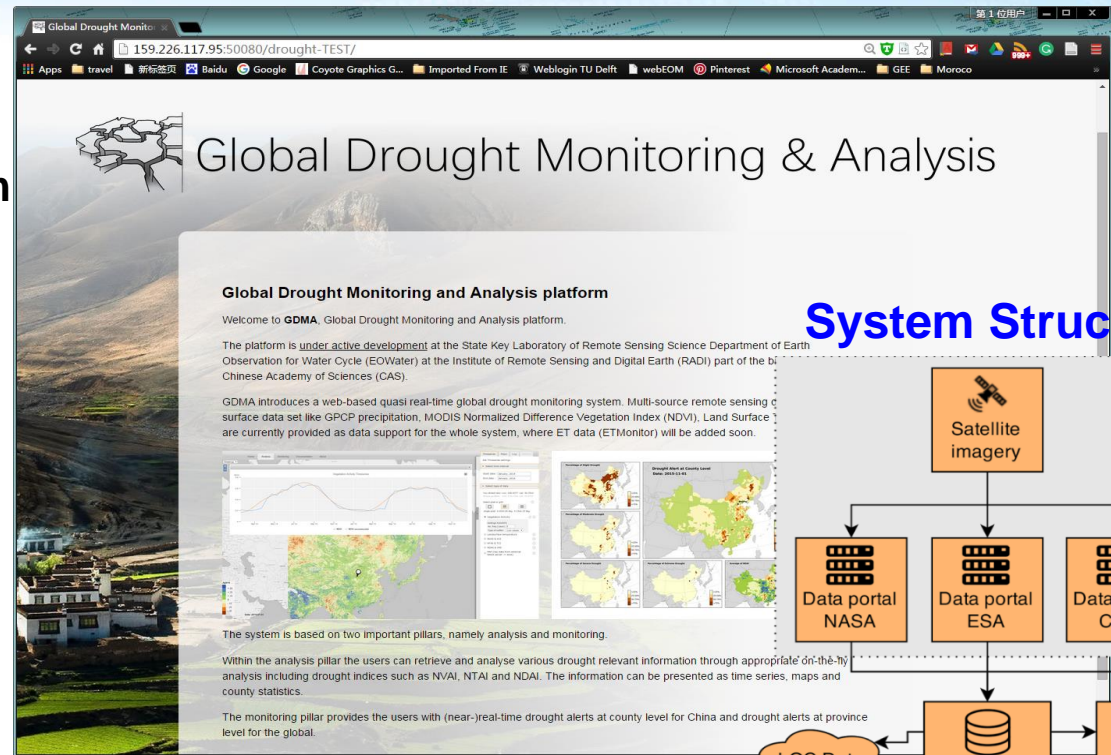
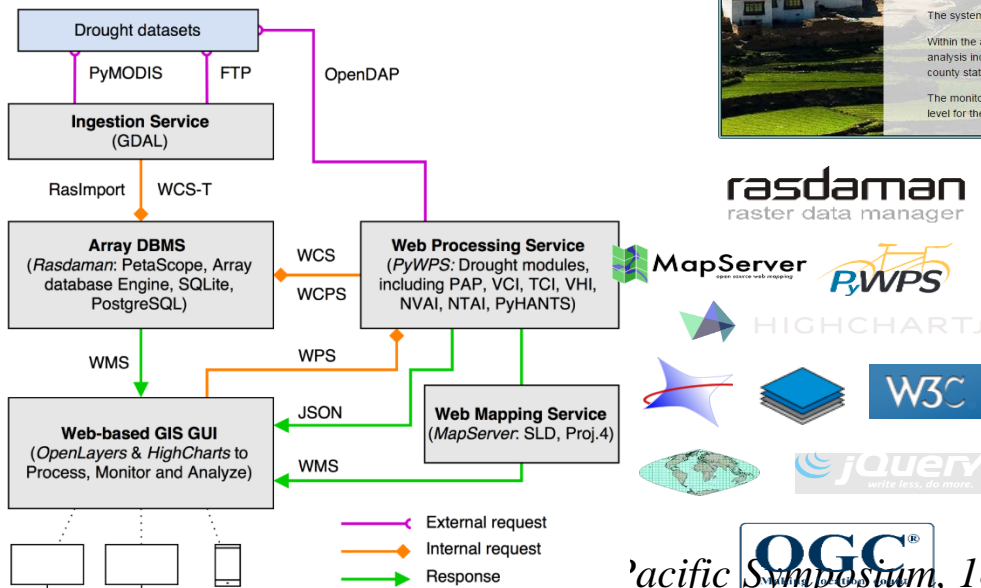
# Web based Global Drought Monitoring & Analysis Platform (**Web-GDMAP**)



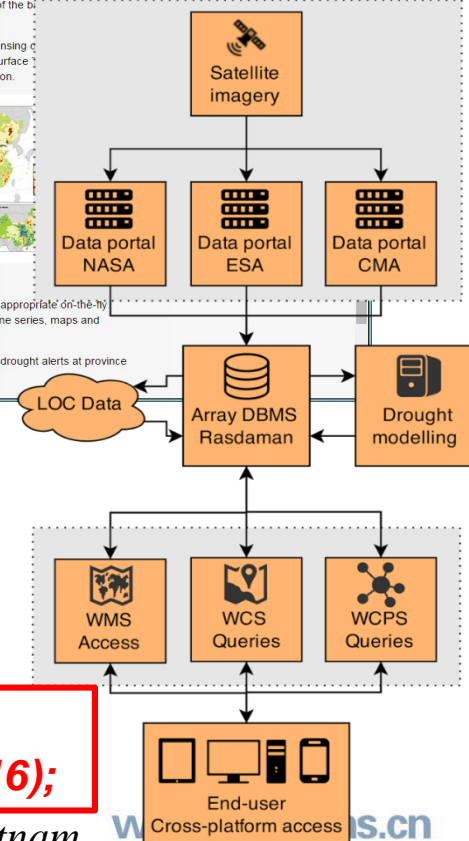
## Characteristics:

- Ad-hoc drought analysis
- Web-based information system
- Big data management and Analysis
- Open source architecture
- Distributed storage

## Technical Framework



## System Structure



**Developed at RADI  
(Hoek, Jia, et al., 2016);**

Pacific Symposium, 18-20 Sept 2017, Hanoi, Vietnam

www.radi.cn

# Outline



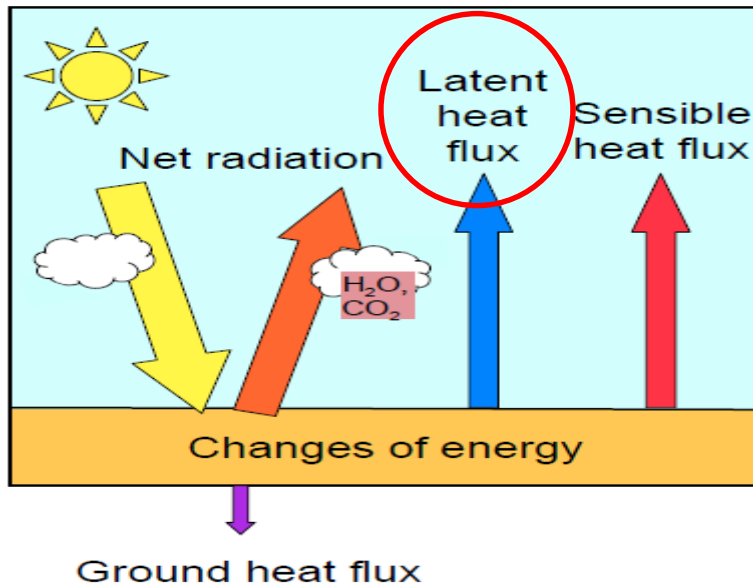
- DroughtMonitor: Drought Monitoring
- **ETMonitor: Evapotranspiration and Water Deficit**

# Land Evapotranspiration



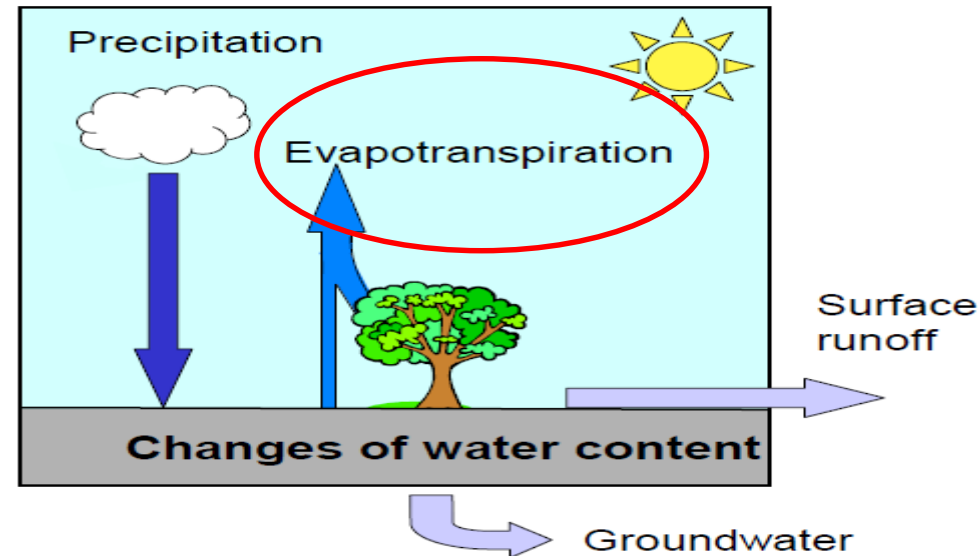
- ET is a term involving **Surface Energy Balance (SEB)** and **Surface Water Balance (SWB)**

## Surface Energy Balance (SEB)



**More than 50% of the solar energy** absorbed by land surfaces is currently used **to evaporate water**.

## Surface Water Balance (SWB)



Global land evapotranspiration (ET) returns about **60% of annual land precipitation** to the atmosphere.

# Remote Sensing ET Products

ET Product	Spatial Res.	Temporal Step	Spatial Coverage	Theory	Input RS Data	OutPut
<b>LandSAF (MSG) ET</b>	3–5 km	30 min, daily	Europe, Africa, S. America	H-TESSEL SVAT scheme	LAI, FVC, Albedo, Downwelling Fluxes, LC	ET
<b>MODIS ET (MOD16)</b>	1 km	8 days	Global	P-M	LAI, fPAR, Albedo, LC	ET, LE, Potential ET/LE
<b>ET-VUA (GLEAM)</b>	25 km	daily	Global	P-T + Soil Water Balance	LST, Vegetation Optical Depth, Precipitation, Soil Moisture, LC	ET, Interception
<b>USGS (SSEBop)</b>	1 km	monthly	Global	SSEBop	LST, NDVI, Albedo	ET
<b>ALEXI</b>	5 km	monthly	Global	ALEXI	LST, NDVI, Albedo	ET
<b>ET-ITC</b>	5 km	monthly	Global	SEBS	LST, NDVI, Albedo, LC	ET
<b>ETMonitor</b>	1 km 250 m 25 m	daily	Global Regional / Basin scale	Multi-Param. (Shuttleworth–Wallace, etc.)	LAI, Albedo, Precipitation, Soil Moisture, LC	ET, E, T, Interception, Potential ET, ET Deficit

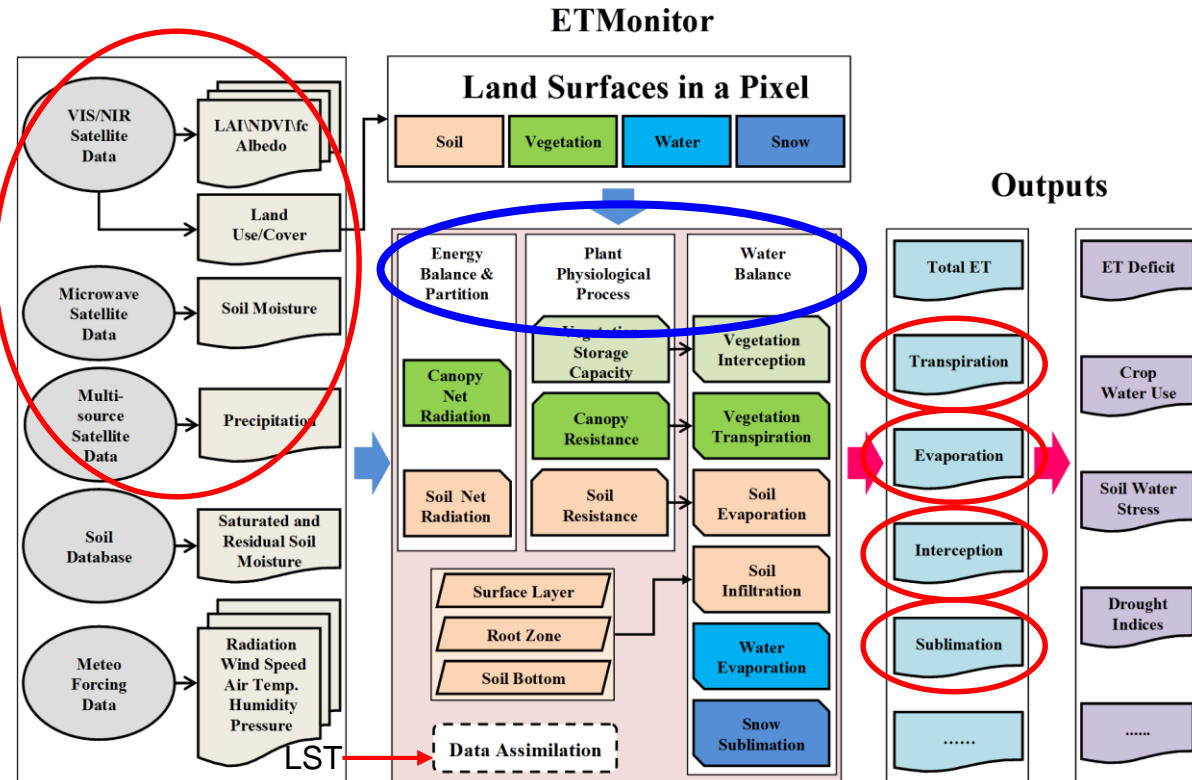
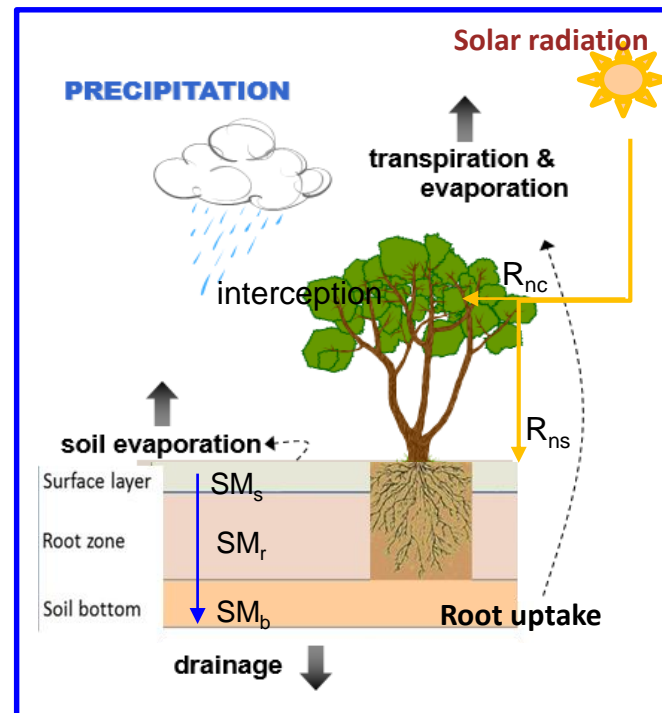
# Evapotranspiration from Remote Sensing



## ETMonitor:

- A process based model implementing processes of **energy balance**, **plant physiology** and **soil water balance** developed by EOWater Lab at RADI

- Combining optical and microwave remote sensing observations



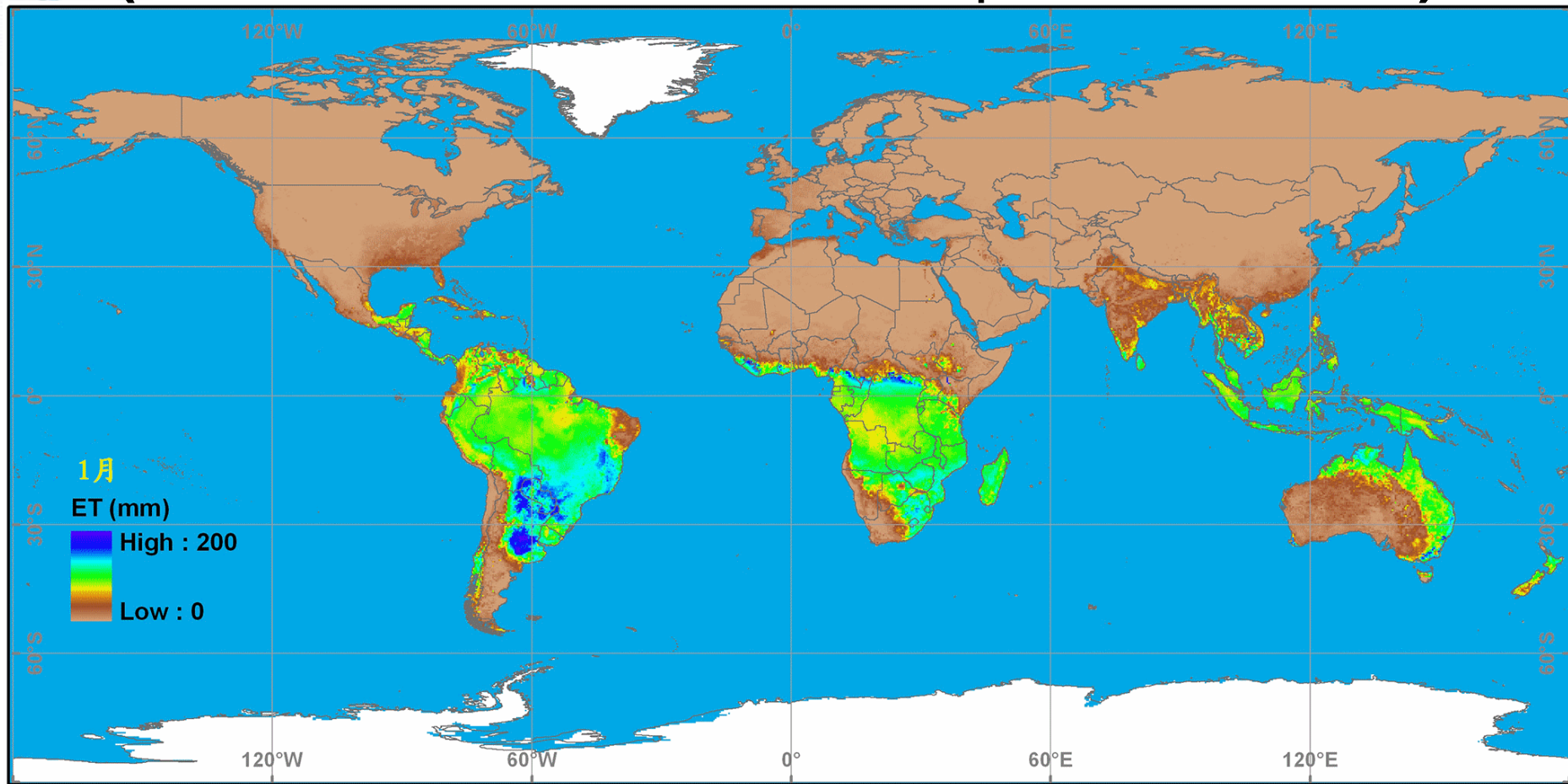
Hu and Jia, 2015, Remote Sensing  
 Cui and Jia, 2014, Water  
 Cui, Jia, et al., 2015, IEEE GRSL  
 Zheng, et al., 2016, IGARSS

# Evapotranspiration from Remote Sensing



## ETMonitor Global ET Product

(2008-2013, mm/month, 1km spatial resolution)



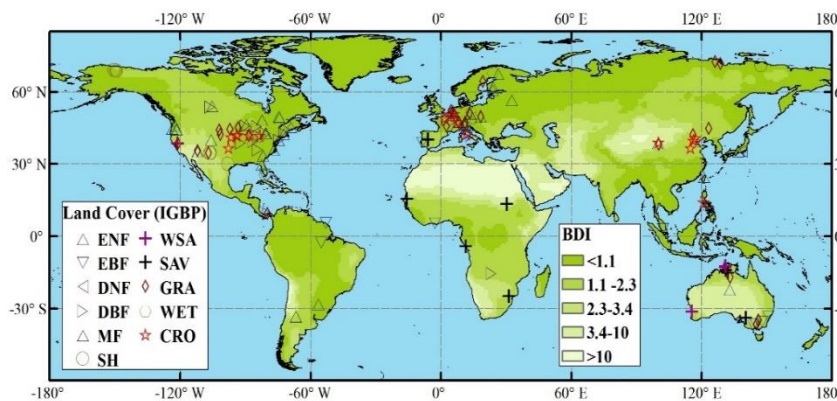
Global ET product from ETMonitor @ daily, 1km spatial resolution

# Evapotranspiration from Remote Sensing

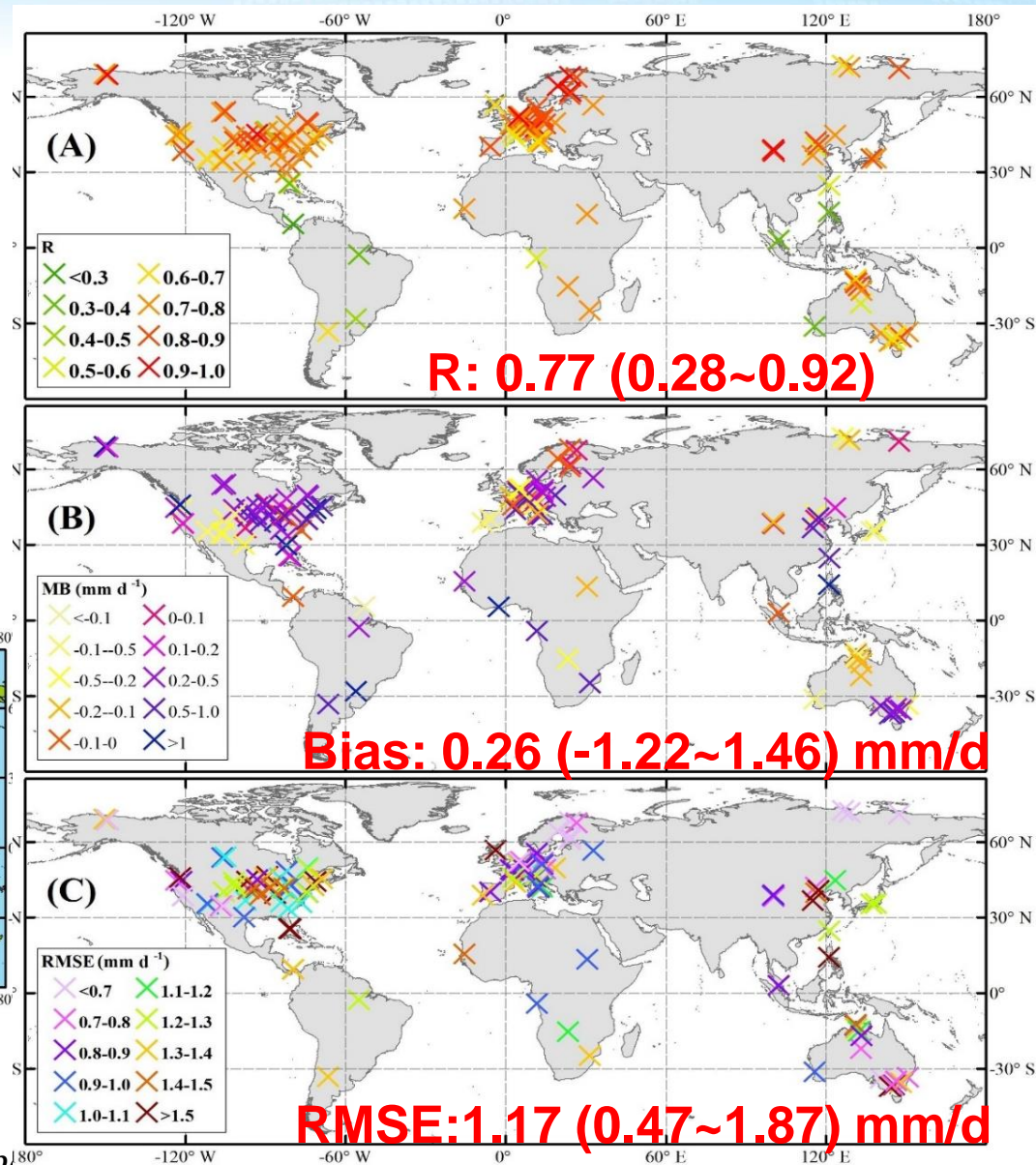


## Validation

- 153 flux sites  
(FLUXNET2015数据)
  - 98 from Fluxnet2015
  - 6 from HiWATER
  - 37 from AmeriFlux
  - 8 from EuroFlux
  - 4 from AsiaFlux



Zheng and Jia, 2017, manuscript



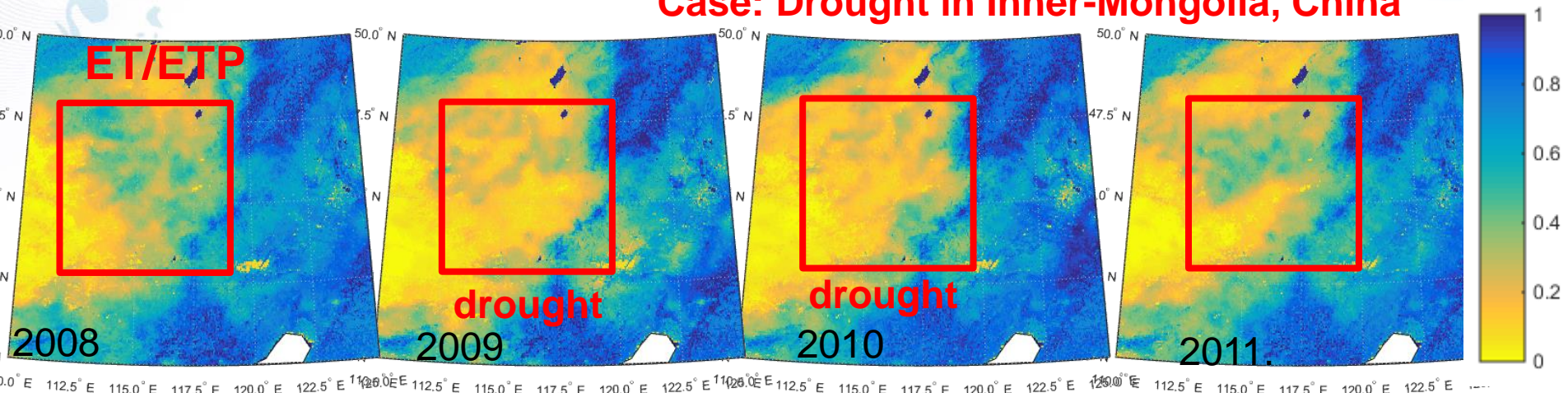
GEOSS Asia-Pacific Symp

# ET Based Drought Monitoring

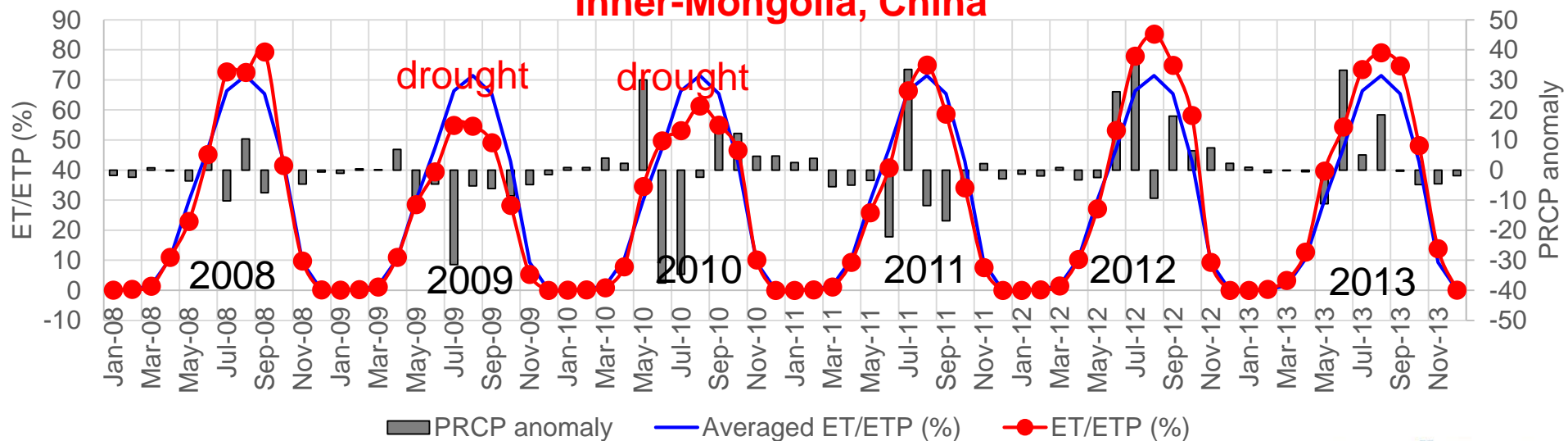


ET/ETP

Case: Drought in Inner-Mongolia, China



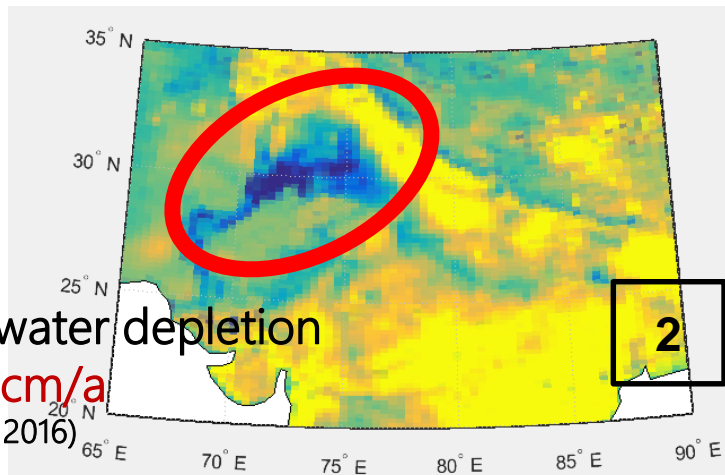
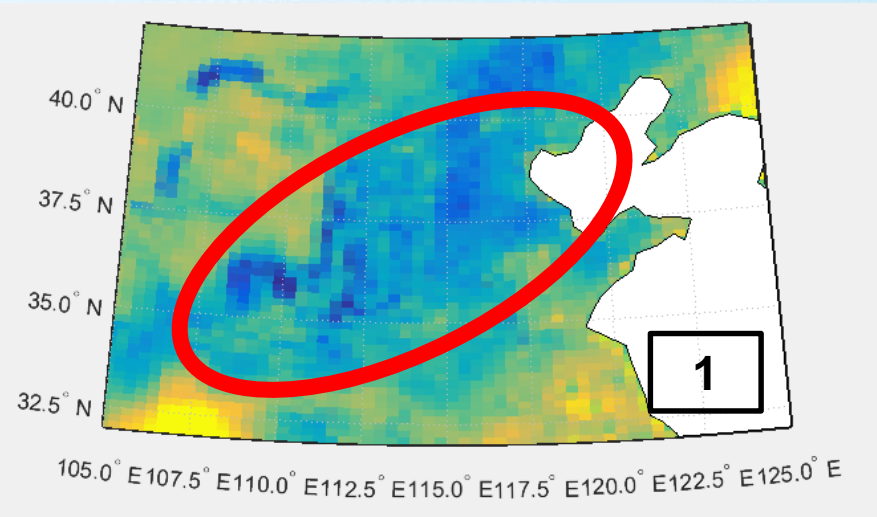
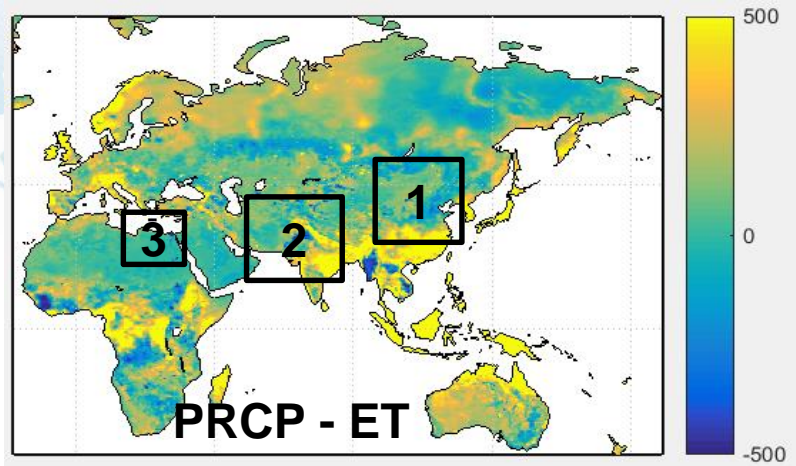
Inner-Mongolia, China



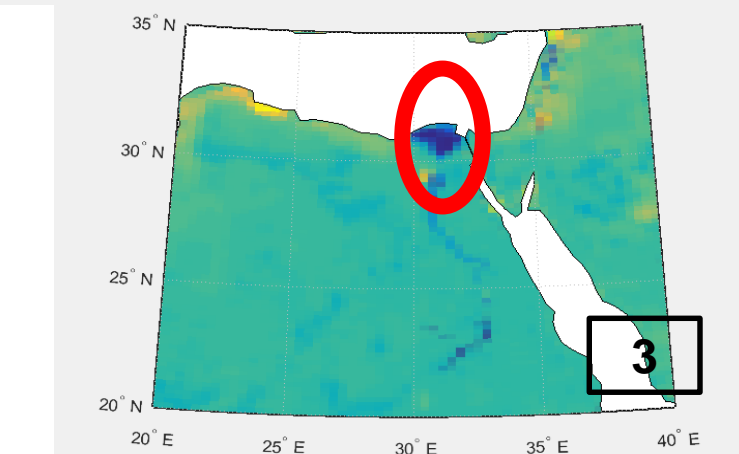
# ET Based Water Deficit Evaluation



## PRCP – ET

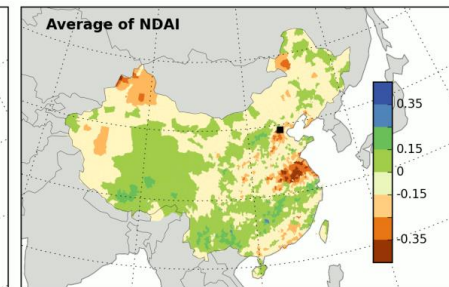
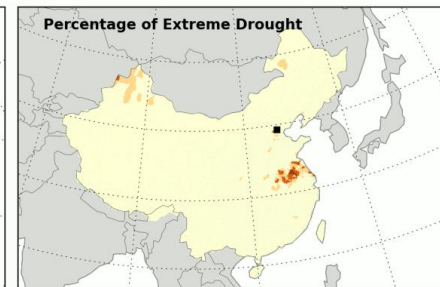
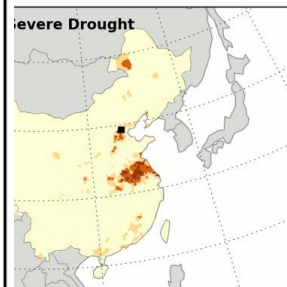
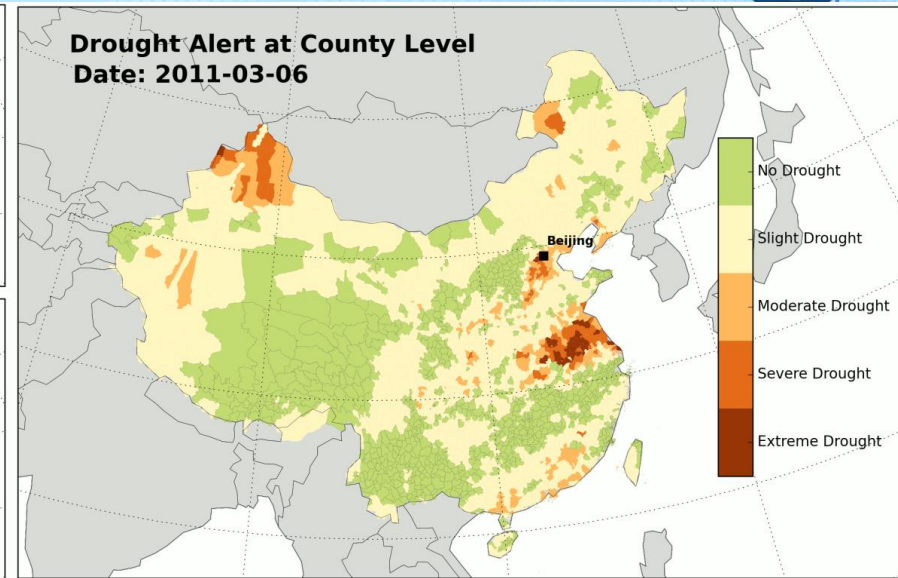
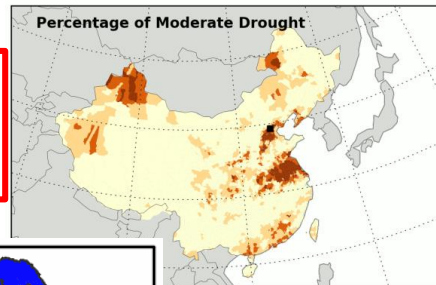
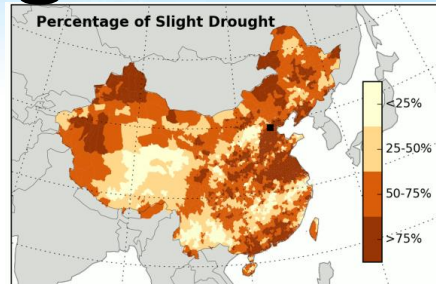


groundwater depletion  
rate: **3.1cm/a**  
(Long et al. 2016)

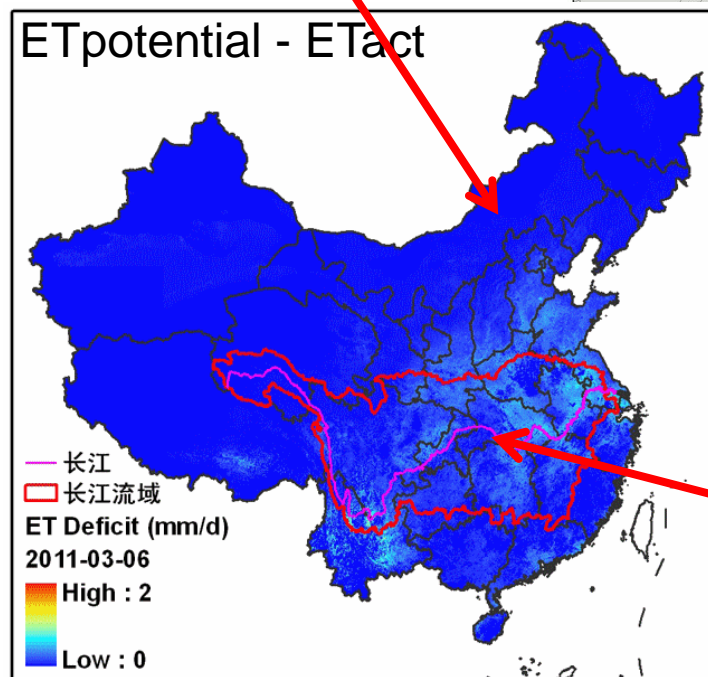


Very low PRCP – ET value can be found in many agriculture regions, e.g. north China, northwest India, lower reach of the Nile basin, where **ET exceeds precipitation**, indicating **surface water** use or using **groundwater**.

# Drought and Water Deficit



**2011 Inner-Mongolia  
summer drought**



**2011 spring-summer drought in  
Yangtze river basin**

# Summary



- Data quality and reliable gap-free time series are vital.
- Better understanding and quantification of terrestrial water cycle processes, e.g. relations between forcing and response.
- Effective use of multi-source data.
- Linkage between satellite derived variables/indicators/indices and land surface processes.
- Linkage/distinguish between physical and societal processes and impact is important and yet a challenging issue.
- Time lag between anomaly in precipitation and response of vegetation.

# AOGEOSS T6 Working Group

## Sub-WGs:

- WG1 : Drought Mechanism and Indicators from EO ;
- WG2 : Data and Monitoring Platform ;
- WG3 : Drought vs Agriculture (Impact and Mitigation) ;
- WG4 : Drought vs Climate Change ;
- WG5 : Drought vs Economy

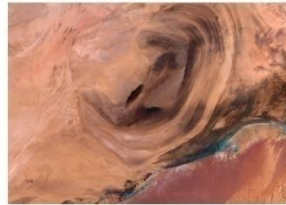
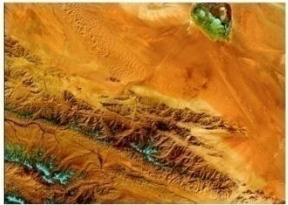
# AOGEOSS T6 Network



- Countries:
  - India, Vietnam, Malaysia, Sri Lanka, Thailand, Australia, Mongolia, Japan, Pakistan, Iran
  - Netherlands, Italy(MoUs signed with relevant institutions)
- Connections to International/Regional Organizations/Programs

# Thank you for your attention!

# 谢谢！



**Li Jia (PhD, Prof.)**

*[jjali@radi.ac.cn](mailto:jjali@radi.ac.cn)*

**Institute of Remote Sensing and Digital Earth (RADI),  
Chinese Academy of Sciences**